

## 2024 Providence Sacred Heart Medical Center & Children's Hospital Staffing Committee (HSC) Charter

This HSC Charter template is revisited and modified as deemed necessary by the Hospital Staffing Committee.

Date published: 06/25/2024 Charter review dates: 06/19/2024 & 06/20/2024 Next review due: 12/2024			
Direct Care Nursing Staff:	6 WSNA RNs and 1 UFCW member	Administration:	7 leaders
<ul style="list-style-type: none"> <li>● The HSC members collaboratively develop/implement the HSC charter. The charter is updated annually or more often as deemed necessary by the committee. The DOH and L&amp;I provide technical assistance to the HSC on implementation of charter requirements.</li> <li>● Retaliation, intimidation, or otherwise adverse action against any individual performing duties or responsibilities in connection with the HSC or any employee, patient, or other individual who notifies the HSC or the hospital administration of concerns related to nurse staffing is not permitted.</li> </ul>			
<b>COMMITTEE (HSC) STRUCTURE, STATEMENT OF PURPOSE, MEMBERSHIP</b>			
<b>Section 1 HSC Purpose</b> <b>Purpose</b> This hospital staffing committee (HSC) is established by Providence Sacred Heart Medical Center & Children's Hospital (PSHMC&CH) to convene direct care nursing staff and hospital administration to participate in a joint process regarding decisions about direct care nurse staffing practices to promote quality patient care, safety for patients and staff, and greater retention of nursing staff. The committee uses pertinent organizational and other data for consideration in developing the Hospital Staffing Plan and analyzing nurse staffing.			
<b>Section 2 HSC Responsibilities</b> <b>Scope</b> The primary responsibilities of the HSC are: <ol style="list-style-type: none"> <li>1. Develop and oversee the annual patient care unit and shift-based hospital staffing plan (HSP) for nursing staff, including registered nurses, licensed practical nurses, certified nursing assistants, and unlicensed assistive nursing personnel providing direct patient care based on the needs of patients.</li> <li>2. Review and evaluate the effectiveness of the staffing plan semi-annually against patient needs and known evidence based staffing information, including identified factors considered in staffing plan development and nurse-sensitive quality indicators collected by the hospital.</li> <li>3. Review, assess, and respond to staffing variations, concerns, or complaints presented to the committee.</li> </ol> Hospital departments/units that require a staffing plan that includes acute care hospital areas (licensed under RCW 70.41) and state hospitals (as defined in RCW 72.23), where RNs provide patient care.			
<b>Section 3 HSC Membership</b> <b>Membership and Selection</b> The HSC consists of 14 voting members comprised of 7 direct care nursing staff and 7 from hospital administration. At least 50 percent of the voting committee members are nursing staff who are nonsupervisory/nonmanagerial, currently providing direct patient care. Committee members may have an alternate in the event of an absence and that alternate will be compensated per the law & CBA as applicable. >The selection of HSC nursing staff members is: <ol style="list-style-type: none"> <li>a) according to the collective bargaining representative(s) if there is one or more at the hospital.</li> </ol> *Refer to information included in the collective bargaining agreement if applicable.			
Fifty percent of the total HSC voting members are from hospital administration and include the Chief Financial Officer, Chief Nursing Officer, and patient care unit directors or managers or their designees. >HSC administration members are selected by the Chief Nursing Officer.			
<b>Co-Chairs</b> The HSC is co-chaired by one direct patient care nursing staff representative from WSNA and one representative from hospital administration. >The nursing staff co-chair is selected: <ol style="list-style-type: none"> <li>a) under the direction of the collective bargaining agreement(s) as guided by the selected HSC nursing staff members.</li> </ol> *Refer to information included in the collective bargaining agreement if applicable.			
>The administrative co-chair is selected by the hospital administration. >If a HSC co-chair or member is unable to fulfill the duties of their role, a new individual is selected using the process outlined above.			
<b>Other attendees</b> >Direct patient care nursing staff may attend HSC meetings as a non-voting member (must submit advanced notice to HSC co-chairs). >Interested non-members who are unable to attend a meeting are encouraged to share their input with a HSC member who may represent their interests during the meeting. >Committee co-chairs may limit HSC attendance to committee members for all or a portion of meetings as deemed appropriate by the co-chairs.			

## COMMITTEE (HSC) ROLES AND RESPONSIBILITIES

### Section 4 HSC Roles and Responsibilities

#### Co-chairs (or designee)

HSC co-chairs serve for a period of 3 years. Co-chairs duties include, but are not limited to:

- Schedule HSC meetings to optimize attendance. Ensure HSC member notification of accurate meeting dates & times at least 30 days in advance.
- Provide new HSC member orientation and ongoing training to members.
- Track meeting attendance of members. Ensure adequate quorum for each meeting and address non-attendance (as specified by charter).
- Develop the agenda for each meeting with input from the HSC members.
- Maintain complete and accurate committee documentation, including but not limited to meeting minutes, complaint review log, annual staffing plan, staffing plan updates, and actions taken. Comply with meeting documentation retention consistent with hospital's policy.
- Facilitate review of factors to be considered in the development of the staffing plan. Ensure review of staff turnover rates (including new hire turnover rates during the first year of employment) quarterly, anonymized aggregate exit interview data on an annual basis, hospital plans regarding workforce development, and patient grievance submissions related to hospital staffing.
- Facilitate development and semi-annual review of the HSP. Present the annual staffing plan and any semi-annual adjustments to the CEO for review and approval. Ensure timely submission of the plan to the DOH following HSC and CEO approval.
- Facilitate respectful and productive discussions and moderate as needed.
- Organize review of staffing complaints and ensure adherence to the complaint management process (specified in the charter) to facilitate the best use of time during the HSC.
- Acknowledge receipt of staffing complaints by communicating with the staff member who submitted the complaint.
- If a nursing staff member requests to present their complaint to the HSC, a written invitation will be sent to the employee and manager in advance of the meeting when the complaint (involving the employee) is scheduled to be discussed. Include notification that a labor representative may attend at the employee's request.
- Ensure closed-loop communication occurs following committee review of a staffing complaint via written response to the staff member who submitted the complaint including the outcome of the complaint after committee review.

#### Hospital Staffing Committee Members

HSC committee member responsibilities include, but are not limited to:

- Complete new member orientation and participate in on-going education as recommended by committee co-chairs.
- Attend committee meetings consistently.
- Notify committee co-chairs if unable to attend a HSC as specified by charter.
- For direct care staff, notify direct supervisor if HSC meetings are scheduled during a scheduled shift as outlined in the charter so that coverage can be arranged.
- Participate actively in committee meetings, including reading required materials in advance of the meeting as assigned, coming prepared for meetings, and engaging in dialogue.
- Remain open-minded and solution-focused and earnestly engage in collaborative/cooperative problem-solving process.
- Model solution-focused communication both in committee meetings and when discussing staffing concerns with peers.
- Serve as a committee ambassador to gather input from peers and share with the HSC to inform decisions and assist peers in understanding the process for developing staffing plans and reviewing complaints.
- Encourage peers to effectively communicate staffing concerns through the process established by the committee to best facilitate collaborative problem-solving.
- Communicate urgent staffing concerns that arise between meetings with unit-based leadership and committee co-chairs.

## HSC MEETING MANAGEMENT

### Section 5 HSC Meetings, Management, and Attendance

#### Meeting Schedules and Notification

The HSC meets monthly, or more often if needed, to achieve objectives of the committee in compliance with RCW 70.41.420. Meeting dates and times are set by the committee co-chairs with input from committee members. Committee members are notified of meeting dates and times via email at least 30-days in advance of regular meetings.

> Meeting participation by HSC members is scheduled work time and compensated at the appropriate rate of pay. Members are relieved of all other work duties during meetings. Whenever possible, meetings are scheduled as part of members' normal full-time equivalent hours per the law or CBA if applicable.

> It is understood that meeting schedules may require members to attend on their scheduled day off. In this case, staff may be given equivalent time off during another scheduled shift or are compensated at the appropriate rate of pay.

> Staffing relief is provided (when necessary) to ensure committee members are relieved of their duties to attend meetings. Members are responsible for notifying the HSC co-chairs if they are scheduled to work when a committee meeting is scheduled.

- > The HSC member works with their supervisor to arrange coverage to attend the committee meeting.
- > Members may attend via teleconference, when the meeting location allows, if unable to attend in person.

#### **Contingency Staffing Plan**

> In the event of an unforeseeable emergent circumstance lasting for 15 days or more, the hospital incident command will provide a report to the hospital staffing committee co-chairs within 30 days including an assessment of the staffing needs arising from the unforeseeable emergent circumstance and the hospital's plan to address the identified staffing needs.

> Upon receipt of this report the hospital staffing committee will convene to develop a contingency staffing plan.

#### **HSC Member Orientation**

Newly selected staffing committee members receive basic orientation by co-chairs as applicable, with ongoing education provided to all members as needed.

#### **Quorum**

Quorum is the minimum acceptable number of voting HSC members required to make the proceedings of the meeting valid. Establishing a quorum ensures sufficient representation at meetings before changes can be proposed or adopted. Quorum for the HSC is met as long as at least 10 committee members are present, with equal representation of voting direct care nursing staff and administration.

- > A quorum is established before the committee takes a vote on all voting matters, including staffing plan approval or revision.
- > For approval of the annual staffing plan, changes to the staffing plan and classification of complaints requires one WSNA RN and one UFCW member as a party to those votes, at minimum.
- > A quorum is preferred for review of staffing complaints, though co-chairs may elect to move forward with presence of fewer than 10 voting members for purposes of timely processing of complaints.
- > Attendance is taken at the beginning of each HSC meeting.
  - Members unable to attend a meeting must notify co-chairs via email prior to the meeting to allow for adjustments to maintain the quorum.
  - HSC voting members are identified at the beginning of each meeting so that if voting is undertaken with an equal number of direct care nursing staff and hospital administration members.
- > If a HSC member is unable to attend, the number of voting members is adjusted so that there is an equal number from direct care nursing staff and administration.

#### **Attendance and Participation**

HSC members are expected to attend at least 80% of meetings held each year. Failure to meet attendance expectations may result in removal from the committee. If a member is unable to attend a meeting, co-chairs are notified via email in advance of the meeting. HSC member replacement is in accordance with the aforementioned selection processes.

> It is the expectation of the HSC that all members participate actively, including reading required materials in advance of the meeting as assigned and coming prepared to meetings.

#### **Communication and Consensus**

The HSC strives to resolve issues through collaboration.

Consensus is the primary decision-making model when a quorum is met and is used for approval of the annual staffing plan, changes to a staffing plan, classification of complaints, and other committee decisions. Should an issue need to be voted upon by the HSC, the action must be approved by a majority vote of a duly appointed HSC with an equal number of direct care nursing staff and administration present (not just the majority of the members present at a particular meeting). The following process will be utilized when a HSC vote is needed:

1. Interested individuals present information relevant to the topic.
2. An opportunity is provided for discussion, questions, and clarification.
3. Co-chairs indicate that the committee will vote on the matter, restating the proposal that will be voted on.
4. Members submit their vote via verbal response.

***Consensus is reached if there is a 50 percent plus one vote of a duly constituted HSC (with an equal number of voting representatives from direct patient care nursing staff and from administration).***

#### **Agenda**

Meeting agendas are developed and agreed upon by the HSC co-chairs prior to each meeting and disseminated to HSC members (with meeting documentation) at least 7 days in advance of the upcoming HSC meeting. HSC members may request items to be added to the agenda either before or during the meeting. Non-member employees may request that a HSC member include an item on the agenda.

Items added to the agenda during a meeting will be addressed as time allows and moved to the next meeting agenda if there is inadequate time.

HSC standing agenda items are as follows:

1. Call to order/attendance.
2. Approval of documentation from previous meeting.
3. Agenda review (opportunity for additions).
4. Charter approval (annually or more often as needed).
5. Committee member education (annually and as needed).
6. Old business (review prior assignments, unresolved discussions, and agenda items rolled over from previous meeting).
7. Budget review.
8. Quality data report (quarterly)/HR report.
9. Proposed unit staffing plan changes (if any).

10. Hospital staffing plan review (including factors considered in development of the HSP – semi-annually).
11. Progress reports (corrective action plans in progress).
12. Staffing complaint trend data.
13. New staffing complaint review & classification.
14. Assignments and agenda items for next meeting.
15. Adjournment.

January & June/July:	Review of quality data
January:	Review HSC charter; Prior year submission trends
June/July:	Staff input via unit presentations
August:	Finalize communication to CEO
December:	Submit HSC approved staffing guidelines to the DOH

#### Documentation and Retention

Committee co-chairs designate a scribe to take notes during each HSC meeting. Meeting documentation (approved by co-chairs with input from HSC members) is distributed to HSC members for review at least 7 days prior to the next HSC meeting.

Meeting documentation includes, (but is not limited to):

- HSC meeting attendance and identification of voting members present.
- Approval of previous meeting documentation.
- The outcome of any votes taken during the meeting.
- Topics discussed during the meeting with action items and member assignment(s).
- Review/disposition/action taken on staffing complaints reviewed during each HSC meeting with tracking on a complaint spreadsheet.

Written documents containing confidential information are not removed from the meeting or shared with individuals who are not members of the HSC. All committee documentation, including meeting documentation and staffing complaint tracking logs are retained for a minimum of three years and consistent with the hospitals' document retention policies.

### HSC STAFFING PLAN DATA, DEVELOPMENT AND APPROVAL

#### Section 6 HSC Information/Data Review

The HSC is responsible for the development and oversight of the staffing plan for provision of daily nurse staffing needs for the identified areas.

>The committee will review the effectiveness of each patient care unit nurse staffing plan semiannually. Department leaders should report to the HSC all relevant information to be considered in the review and approval of the patient-care unit staffing plan.

Factors to be considered in the development of the staffing plan include, but are not limited to:

- Census, including total numbers of patients on the unit on each shift and activity such as patient discharges, admissions, and transfers.
- Patient acuity level, intensity of care needs, and the type of care to be delivered on each shift.
- Skill mix of staff and FTE mix of current staff, including full-time, part-time, per diem, travel/contract/local agency/float pool.
- Anticipated staff absences, (i.e., vacation, planned leave, sabbatical).
- Level of experience, specialty certification, and training of nursing and patient care staff providing care.
- The need for specialized or intensive equipment.
- Availability and ease of access of resources, equipment, and supplies.
- The architecture/geography of the patient-care unit, including but not limited to placement of patient rooms, treatment areas, nursing stations, medication preparation areas, and equipment.
- Staffing guidelines adopted or published by national nursing professional associations, specialty nursing organizations, and other health professional organizations.
- Availability of other personnel and patient-care staff supporting nursing services on the unit, (e.g., Respiratory Therapy, PT/OT, etc.).
- Measures to optimize available staff, (e.g., current/alternative staffing models of care, workflow optimization, etc.)
- Compliance with the terms of an applicable collective bargaining agreement, if any, and relevant state and federal laws and rules, including those regarding meal and rest breaks and use of overtime and on-call shifts.
- Semiannual review of the staffing plan against patient needs.
- Known evidence-based staffing information, including the quality indicators collected by the hospital.
- Review, assessment, and response to staffing variations or complaints presented to the committee.
- Hospital finances and resources as well as a defined budget cycle.

#### Section 7 HSC Information/Data Review

The HSC reviews relevant data outlined below to assess the effectiveness of unit-based staffing plans and financial performance.

Data/Metrics	Frequency of Review
Results from staff satisfaction and culture survey trends	Quarterly
Staffing Plan Compliance reports	Monthly
Missed meal & rest break reports for nursing staff	Monthly
Overtime & mandatory on-call reports	Monthly
Hospital and department specific budget reports: Consider including:	Quarterly (monthly reports reviewed quarterly)

<ul style="list-style-type: none"> <li>•Hospital operating margin</li> <li>•EBITDA (earnings before interest, taxes, depreciation, and amortization)</li> <li>•Hospital volumes</li> <li>•Agency expenses</li> </ul>	
Human Resources Report, including but not limited to: <ul style="list-style-type: none"> <li>•Turnover &amp; vacancy rates by nursing staff job class &amp; patient care unit</li> <li>•Nursing staff new hire turnover rates during the first year of employment</li> <li>•Aggregate anonymized exit interview trends (individual exit interview responses are not shared with the HSC due to confidentiality). *</li> <li>•Hiring trends and hospital workforce development plans</li> </ul>	Quarterly  *Exit interview trends annually
Hospital-wide and department-specific quality indicators, including but not limited to: <ul style="list-style-type: none"> <li>• Patient complaints related to staffing*</li> <li>• Patient satisfaction survey responses*</li> <li>• Key quality indicators as identified by the committee (CAUTIs, CLABSIs, HAPIs, moderate &amp; above falls and any other nurse sensitive indicators.)</li> </ul> *Patient comments about specific staff are not shared with the HSC. The quality director summarizes patient comments and presents them to the committee.	Quarterly

#### Data Validation

Staffing Plan Compliance Report. The HSC co-chairs conduct a monthly review of the staffing plan compliance report. The standard form includes a checkbox for either HSC co-chair to indicate their belief that the validity of the report should be investigated by the WA DOH. (Begin no later than August 2025)

#### Section 8 HSC Staffing Plan Development, Review, and Approval

Upon review of *factors to be considered in development of a staffing plan* and quality metrics, the HSC develops and votes on a proposed staffing plan. The HSC voting members approve the proposed staffing plan when a majority (50 percent plus one) vote of the HSC is in favor of the plan. The committee-approved staffing plan proposal is provided to the hospital Chief Executive Officer (CEO) for review.

>Due annually by July 1<sup>st</sup> for the following year and any time a staffing plan adjustment is requested and approved by the committee.

Upon receiving a staffing plan proposal from the HSC, the CEO or designee reviews the proposal and provides written feedback to the committee.

The written feedback must include, but is not limited to the following:

- Elements of the proposed staffing plan the CEO requests to be changed.
- Elements that could cause concern regarding financial feasibility, temporary or permanent closure of units, or patient care risk.
- A status report on implementation of the staffing plan including nurse-sensitive quality indicators, patient surveys, recruitment/retention efforts, and success over past six months in filling open positions for employees covered by the staffing plan.

The committee reviews and considers any feedback from the CEO, revises the staffing plan if applicable, and approves the new draft staffing plan by majority vote (50 percent plus one) before submitting the revised staffing plan to the CEO for approval.

>If the revised staffing plan proposal is not accepted by the CEO and adopted upon second review, the CEO documents rationale for this decision.

If the HSC is unable to agree on a staffing plan proposal by majority vote or the CEO does not accept and adopt the proposed staffing plan, the most recent of the following staffing plans remains in effect: a) the staffing plan in effect January 1, 2023, or b) the staffing plan last approved by a 50 percent plus one vote of a duly constituted HSC and adopted by the hospital until a new proposal can be agreed upon.

The CEO's written report is retained with HSC documentation as outlined in *Section 5 Documentation and Retention*.

### HSC COMPLAINT MANAGEMENT

#### Section 9 HSC Complaint Review

Staffing concerns are addressed using the following process:

##### Step 1: Timely Communication

Staffing concerns are addressed (in real-time) with the immediate supervisor using chain of command.

##### Step 2: Immediate Intervention

Staffing concerns are discussed with the Charge Nurse on duty, who is responsible for staffing assignments during the shift. The staff member and Charge Nurse work together to evaluate the immediate clinical situation, evaluate patient and staff conditions, and explore potential solutions. When a variance from the staffing plan is identified or clinical circumstances warrant additional staff to accommodate patient care needs, the House Supervisor or unit nursing leadership determines the appropriate reasonable efforts to resolve the situation using available resources.

When the Charge Nurse has exhausted all available resources and determines that there is immediate risk to patient and/or staff safety, the Charge Nurse contacts the next level supervisor as (outlined in the hospital chain of command policy) for assistance in resolving the concern.

If the concern cannot be resolved, please refer to the chain of command – acute patient care policy.

**Step 3: Staffing Concern/PSHMC&CH RN Staffing Analysis Form/Assignment Despite Objection (ADO)/Complaint Staffing Inquiry (CSI)**

When a staff member has discussed their staffing concern with the shift lead/immediate supervisor and is not satisfied with the outcome or solution, the staff member initiates the applicable form.

**PHI is not included in the staffing concern report.**

The purpose of reporting a staffing concern is to escalate unresolved concerns to the manager and HSC for review. Ideally, the reporting staff member completes the report prior to the end of the shift in which the concern occurred. The HSC aims to resolve complaints within 90 days of receipt by the co-chairs, or longer with majority approval of the HSC.

If a concern is resolved during the shift by activating the standard chain of command, an applicable form may or may not be completed at the discretion of the staff member. Concerns resolved during the shift are classified as resolved and closed upon staffing committee review. A staffing concern report may be submitted to the committee if there is a recurring pattern, even if the immediate concern is resolved. Multiple reports submitted for the same occurrence will be reviewed for context and to ensure all information is considered but will be counted as a single occurrence for documentation purposes.

**Step 4: Routing of Staffing Concerns**

Unit managers are notified immediately of an unsafe staffing form submission and instructed to fill out a Nurse Manager response form. Delayed or incomplete reports that are missing pertinent information may delay the review process. Efforts to obtain necessary information include, but not be limited to:

- Contacting the staff member who submitted the report if known.
- Contacting the shift lead/immediate supervisor on the shift in which the concern occurred.
- Contacting other staff members working the shift in which the concern occurred.

A report may be dismissed by the committee due to insufficient information to investigate the concern.

The HSC reviews all written reports submitted to the committee regardless of the format used to submit the report. The use of a reporting method other than the process outlined above may cause a delay in HSC co-chairs receiving the report. Committee co-chairs (or designees) log the date each report is received and will proceed with the standard review process.

**Step 5: Department/Unit Level Review and Action Plan**

Upon receiving a staffing concern, the department manager initiates a department level review.

**Step 6: Presentation to the Hospital Staffing Committee**

**All staffing concerns and Nurse Manager response forms are presented to the HSC for review and complaint classification.**

Prior to a concern being presented to HSC for review, the submitting caregiver will be given the option to present in-person. Instructions for requesting an in-person presentation is outlined on the submittal response form.

**Step 7: HSC Complaint Classification**

After receiving the department report, the HSC determines classification of each staffing concern by utilizing the PSHMC&CH Unsafe Staffing Analysis Complaint Follow-Up Form

If a complaint is not classified as dismissed or resolved when presented to the HSC, the committee identifies potential solutions and develops an action plan. The committee makes every effort to resolve concerns within 90 days of HSC co-chairs receiving a concern. The HSC extends the review period longer than 90 days with approval from the majority **(50 percent plus one)** of the committee. Any decision to extend the review period will be recorded by the committee co-chairs on the complaint tracking log.

**Step 8: Implementation or Escalation**

During this step solution(s) identified by the HSC are implemented as agreed upon in Step 7. If a solution cannot be identified or the committee recognizes that additional resources are needed to implement the plan, the committee may invite other senior leaders or stakeholders to assist in addressing the concern. The committee may repeat Step 7 with additional senior leaders or stakeholders and return to Step 8 when a solution has been identified.

**Step 9: Evaluation**

After a time agreed upon by HSC members, the HSC reviews and evaluates the effectiveness of the corrective action plan. The committee will reclassify the concern at this time and record the new classification in the complaint tracking log. If the concern is not adequately resolved, the committee may choose to repeat Steps 6 through 9 as many times as necessary to resolve the problem. If this process exceeds 90 days from the date the report was received, the committee will vote on whether to extend the review period.

**Step 10: Documentation**

**Protected health information (PHI) is not included in HSC documentation.**

All pertinent information related to each staffing concern reported is logged on the Staffing Concern Tracker, the applicable staffing analysis form and Nurse Manager response form. These forms are retained per our retention policy.

**Step 11: Closed-Loop Communication**

The outcome of each complaint review will be communicated to the staff member who initiated the concern in writing via email.