

# **In-Home Services License Application Packet**

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# In order to process your request:

Mail your application with initial documentation and your check or money order payable to:

Department of Health P.O. Box 1099 Olympia, WA 98507-1099

# Send other documents not sent with initial application to:

In-Home Services Credentialing P.O. Box 47877 Olympia, WA 98504-7877

### **Contact us:**

360-236-4700

To request this document in another format, call 1-800-525-0127. Deaf or hard of hearing customers, please call 711 (Washington Relay) or email <u>doh.information@doh.</u> <u>wa.gov</u>.



# **Application Instructions Checklist**

When your application for an In-Home Services Agency License is received by the Department of Health (DOH), it will be reviewed and you will be notified in writing of any outstanding documentation or licensing fees needed to complete the process.

All information should be printed clearly in blue or black ink. It is your responsibility to submit the correct required forms.



Application Fee: You can check the online <u>fee page</u> for current fees.

On page one of the application, indicate type of application—new, change of ownership, amended or renewal.

- **New** - First time requesting an In-Home Services Agency license.
- Change of Ownership When name of legal owner/operator changes resulting from the sale of a licensed In-Home Services Agency. Any transaction that results in a change of the unified business identifier or federal employer identification number.
- **Amended** To request the addition of a Service Category (e.g. Home Care, Home Health, Hospice, Hospice Care Center); add or eliminate Service(s), add or change accreditation information, add or change DSHS contracting information, add or eliminate a Service Area(s), change Administrator, Clinical Director or Direct Supervisor information, add Other Office Locations.
- **Renewal** - To renew an existing In-Home Services License.
- **Check One:** Please check your legal owner/operator business structure type according to your Washington State Master Business License.
- 1. Demographic Information:

**Uniform Business Identifier Number (UBI #):** Enter your Washington State UBI #. All Washington State businesses must have UBI #s. City, county, and state government departments also have UBI #s.

Federal ID Number (FEIN #): Enter your Federal ID Number, if the business has been issued one.

Legal Owner/Operator Name: Enter the owner's name as it appears on the UBI/Master Business License.

Mailing Address: Enter the owner's complete mailing address.

Phone and Fax: Enter the owner's phone and fax numbers.

**Email:** Enter the owner's email, if applicable.

Facility/Agency Name: Enter the doing business as name. Name used on advertising, signs, and web sites.

**Physical Address:** Enter the facility's physical street location including city, state, zip code, and county.

Phone and Fax Numbers: Enter the agency's phone and fax number.

**Mailing Address:** Enter the agency's mailing address, if different than physical address.

Agency email and web address: Enter the agency's email and web address, if applicable.

#### 2. Agency Specific Information:

A. Service Categories: Please check all in-home service categories that apply.

**Service Categories of Home Care, Home Health, and Hospice:** Enter the number of Full Time Equivalents (FTEs). Complete the <u>Full-Time Equivalent Worksheet</u> to determine your FTE's. A minimum of one FTE per service area, per service category is required.

**Service Category of Hospice Care Center:** Enter the number of licensed beds authorized by Certificate of Need and Construction Review Services.

#### **Services Provided:**

Home Care Services: Please check all that apply.

**Home Health Services:** Please check all that apply. You must choose at least two home health services in order to have an approved home health service category. Home Health agencies may also provide non-medical services - check all that apply.

Hospice Services: Please check all that apply.

Hospice Care Center Services: Please check all that apply.

#### B. Medicare Designation/Certification:

Please check if agency is Medicare certified to provide Home Health or Hospice services. If yes, enter the corresponding six character provider number(s). In Washington this provider number always begins with 50. If you do not know your six character provider number, please contact your Medicare Fiscal Intermediary.

#### DSHS/AAA and/or DDD Contracts:

Check yes or no. If yes, attach a copy of the final executed contract.

#### **Accreditation Information:**

If your agency is accredited, please enter the name of the accreditation agency, the accreditation effective date, expiration date, and check the box for accreditation as a Home Health or Hospice agency.

#### C. Service Areas:

Check the service counties and service categories in which you propose to deliver care to patients or clients. The department must approve the requested counties before an agency may provide services in those counties. Approval of a county includes the expectation that agencies will strive to service all clients or patients within the county boundaries. For Medicare, check both the state counties you provide services in as well as those counties that were authorized by Certificate of Need for Medicare.

#### 3. Key Individuals:

#### A. Administrator:

Enter the administrators name, phone number, fax number, email address, and hire date. This must be the same person identified on the Disclosure Statement and Criminal History Background Check.

**Supervisor of Direct Care Services (Home Care Category):** Enter the supervisor's name, phone number, fax number, email address, and hire date. This must be the same person identified on the Disclosure Statement and Criminal History Background Check.

#### Director of Clinical Services (Home Health and Hospice Categories):

Enter the director's name, phone number, fax number, email address, and hire date. This must be the same person identified on the Disclosure Statement and Criminal History Background Check.

#### B. Legal Owner Information:

List the names, titles, addresses, and phone numbers of the corporate officers, LLC members, partners, individuals owning 10% or more of the agency. Attach additional sheet, if necessary.

#### 4. Other Office Locations:

Enter the name, street address, mailing address, phone number, fax number, email address, and on-site manager or supervisor name. Check the service categories provided from this location. If there are more than two locations, please attach additional sheets as needed. If this is an approved Medicare Branch Office, check the box.

#### 5. Change of Ownership Information:

For the current and prospective legal owners, enter the name, phone number, current license number, agency name, agency address, email address, and effective date of ownership change. Current and prospective legal owners must attest to the change in ownership by signing their names on the space provided and indicate the date signed.

#### Signature:

Signature of legal owner or authorized representative.

Date signed.

Print name of legal owner or authorized representative.

Print title of legal owner or authorized representative.

### **Additional Information:**

For more information on serving state funded DSHS clients, please contact your local Area Agency on Aging (AAA) at 1800-422-3263 or the Division of Developmental Disabilities (DDD) at 1800-562-3022.

DSHS can explain the requirements for contracting with them. Contracts are not available to newly licensed home care agencies.

The Area Agency on Aging can be found at http://www.aasa.dshs.wa.gov/.



# **License Requirements**

### In order to process your request you must provide the following:

- Return completed application, along with the application fee.
- A copy of your In-Home Services Orientation Class certificate of completion. For more information, please see the Department of Health <u>website</u>.
- Commercial General Liability Insurance: Attach proof of the current commercial general liability insurance as per <u>WAC 246-335-320(2)(b)</u>.
- Disclosure Statement: Attach a copy of the Disclosure Statement for the on-site Administrator/Director, Director of Clinical Services (Home Health or Hospice), or Supervisor of Direct Care Services (Home Care) as stated in <u>WAC 246-335-320 (2)(d)</u> and <u>WAC 246-335-325 (4)</u>. Current copies must be dated within 3 months of the initial application date.
- Criminal History Background Check (CBC): Attach a copy of the current CBC of the on-site Administrator, Director of Clinical Services (Home Health or Hospice), or Supervisor of Direct Care Services (Home Care) as stated in <u>WAC 246-335-320 (2)(d)</u> and <u>WAC 246-335-325 (4)</u>. Current copies must be dated within 3 months of the initial application date.
- Copy of any and all current government issued business license(s) for each office location which may include state, county or city licenses.
- A completed <u>full-time equivalent (FTE) worksheet</u>.
- A description of how the agency will provide management and supervision of services throughout all requested service area(s).

Washington State Department of			Date Stamp Here		
Revenue: 0597632360					
In-Home Se	rvices Ageno	cy Licen	se Application		
This is for:	Change of Ownership	Amen	ded 🗌 Renewal		
Check One					
<ul> <li>Association</li> <li>Corporation</li> <li>Federal Government Agency</li> <li>For-Profit</li> <li>Limited Liability Company</li> <li>Limited Liability Partnership</li> </ul>	<ul> <li>Limited Partner</li> <li>Municipality (C</li> <li>Municipality (C</li> <li>Non-Profit Corp</li> <li>Partnership</li> </ul>	ty) ounty)	<ul> <li>Public Hospital District</li> <li>Sole Proprietor</li> <li>State Government Agency</li> <li>Tribal Government Agency</li> <li>Trust</li> </ul>		
1. Demographic Inform	ation				
UBI #		Federal Tax I	D (FEIN) #		
Legal Owner/Operator Name Mailing Address					
City	State	Zip Code	County		
Phone (enter 10 digit #)	Fax (enter 10 digit #)		Email Address		
Agency Name (Doing business as na	Agency Name (Doing business as name. Name used on advertising, signs, and web sites)				
Physical Address					
City	State	Zip Code	County		
Agency Phone (enter 10 digit #)		Agency Fax	(enter 10 digit #)		
Mailing Address (If different than phys	sical address)				
City	State	Zip Code	County		
Agency Email Address	Ager	lcy Web Addre	SS		

<b>2. Agency Specific Information</b> A. Check one or more service categories below along with related services:					
☐ Home Care category Home Care Services:	# of FTE's				
Personal Care     Meal Preparation	Housework Family Respite Care	Essential Shopping	Travel to Medical Services		
Primary Account Administra (This is used for securely se	ator Name ending DSHS/BCCU backgrou	Primary Account Adminis und results.)	strator Email		
Home Health category Home Health Services (che	# of FTE's eck at least two services):				
<ul> <li>☐ Skilled Nursing</li> <li>☐ Speech Therapy</li> <li>☐ Nutritional Counseling</li> </ul>	<ul> <li>Physical Therapy</li> <li>Home Health Aide</li> <li>Medical Social Services</li> </ul>	<ul> <li>Occupational Therapy</li> <li>I.V. Services</li> <li>Nutritional Counseling</li> </ul>	<ul> <li>Respiratory Therapy</li> <li>Durable Medical Equipment</li> <li>Applied Behavior Analysis</li> </ul>		
Home health agencies may <ul> <li>Personal Care</li> <li>Meal Preparation</li> </ul>	also provide non-medical serv	rices - you may select any of th	he following:		
Hospice category Hospice Services:	# of FTE's				
<ul> <li>Skilled Nursing</li> <li>Speech Therapy</li> <li>Nutritional Counseling</li> <li>Respite Care</li> <li>Volunteer Services</li> </ul>	<ul> <li>Physical Therapy</li> <li>Home Health Aide</li> <li>Medical Social Services</li> <li>Pharmacy Services</li> </ul>	<ul> <li>Occupational Therapy</li> <li>I.V. Services</li> <li>Bereavement Counseling</li> <li>Symptom &amp; Pain Mgmt.</li> </ul>	☐ Paliative Care		
Personal Care     Meal Preparation	provide non-medical services Housework Family Respite Care	Essential Shopping	Travel to Medical Services		
Hospice Care Center care Conter care Care Care Care Care Service	ategory   # of beds ices:				
-	General Inpatient Care	Inpatient Respite Care	Routine Home Care		
B. Medicare, Medicaid,	and Accreditation inform	ation:			
Is this Home Health or Hos	pice agency currently Medical	re certified?	10		
Home Health Medicare Certification # 50 Hospice Medicare Certification # 50					
	cy have a DSHS Medicaid cor final executed DSHS contract		10		
Name of Accreditation Age	ncy	Ho	ome Health 🗌 Hospice		
	Expiration Date				

C. Requested Service Areas							
County	Home Care	State Home Health	State Hospice	State Hospice Care Center	Medicare Home Health	Medicare Hospice	Medicare Hospice Care Center
Adams							
Asotin							
Benton							
Chelan							
Clallam							
Clark							
Columbia							
Cowlitz							
Douglas							
Ferry							
Franklin							
Garfield							
Grant							
Grays Harbor							
Island							
Jefferson							
King							
Kitsap							
Kittitas							
Klickitat							
Lewis							
Lincoln							
Mason							
Okanogan							
Pacific							
Pend Oreille							
Pierce							
SanJuan							
Skagit							
Skamania							
Snohomish							
Spokane							
Stevens							
Thurston							
Wahkiakum							
Walla Walla							
Whatcom							
Whitman							
Yakima							

	Fax (enter 10	) digit #	)		
		Hire Date			
ome Care Only	y)				
	Fax (enter 10	) digit #	;)		
		Hire D	Date		
alth and Hosp	pice)				
	Fax (enter 10	Fax (enter 10 digit #)			
	Hire Date		Date		
B. Legal Owner Information–attach additional sheets as needed					
		ers, LLC	c members, partners, individuals		
owning 10% or more of the agency. Name					
State	Zip Code		Phone (enter 10 digit #)		
Name		Title			
Mailing Address					
State	Zip Code		Phone (enter 10 digit #)		
1	Title		-		
Mailing Address					
State	Zip Code		Phone (enter 10 digit #)		
	alth and Hosp	ome Care Only) Fax (enter 10 alth and Hospice) Fax (enter 10 Title State Zip Code Title State Zip Code Title	ome Care Only) Fax (enter 10 digit # Hire E alth and Hospice) Fax (enter 10 digit # Hire E tional sheets as needed mbers of the corporate officers, LLC Title State Zip Code Title State Zip Code Title		

4. Other Office Locations - Attach additional completed pages if you need more space.						
Office Name Approved Medicare Branch Office						
Physical Address						
Mailing Address (if differen	t from physical)					
City		Zip Code	County			
Phone (enter 10 digit #)		Fax (enter 10 digit #)				
Email Address						
On-Site Manager or Superv	visor					
In-Home services categorie	es provided from this location					
Home Health	Home Care	Hospice	Hospice Care Center			
Office Name		ДАрр	roved Medicare Branch Office			
Physical Address						
Mailing Address (if different	t from physical)					
City		Zip Code	County			
Phone (enter 10 digit #)		Fax (enter 10 digit #)				
Email Address						
On-Site Manager or Superv	visor					
In-Home services categorie	es provided from this location					
Home Health	. Home Care	Hospice	Hospice Care Center			
Office Name		ДАрр	roved Medicare Branch Office			
Physical Address	Physical Address					
Mailing Address (if different from physical)						
City		Zip Code	County			
Phone (enter 10 digit #)		Fax (enter 10 digit #)				
Email Address						
On-Site Manager or Supervisor						
In-Home services categorie	es provided from this location					
Home Health	Home Care	Hospice	Hospice Care Center			
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5. Change of Ownership Information						
Name of Current Legal Owner						
Name of Current Agency		Current Agency Licens	se Number			
Effective Date of Ownership Change	Current Owne	r Phone (enter 10 digit #	<sup>2</sup> )			
Current Agency Physical Address						
Name of Prospective Legal Owner	Prospective Ov	vner Phone (enter 10 dig	jit #)			
Name of Prospective Agency	Prospective Ov	vner Email Address				
Prospective Agency Physical Address						
Signature of current legal owner Date	Signature of prosp	ective legal owner	Date			
Print name of current legal owner Date	Print name of pros	Print name of prospective legal owner Dat				
	Signature					
I certify that I have received, read, understood, and agree to comply with state law and rule regulating this licensing category. I also certify that the information herein submitted is true to the best of my knowledge and belief.						
Signature of owner/authorized representative	I	Date				
Print Name	1	Print Title				



I, \_

# **Disclosure Statement**

have never been:

#### 1. Convicted of a crime against children or other persons.

Aggravated murder; first or second degree murder; first or second degree kidnapping; first, second, third degree assault; first, second, or third degree assault of a child; first, second, or third degree rape; first, second, or third degree rape of a child; first or second degree robbery; first degree arson; first degree burglary; first or second degree manslaughter; first or second degree extortion; indecent liberties; incest; vehicular homicide; first degree promotion prostitution; communication with a minor; unlawful imprisonment; simple assault; sexual exploitation of minors; first or second degree criminal mistreatment; child abuse or neglect as defined in RCW 26.44.020; first or second degree custodial interference; malicious harassment; first, second, or third degree child molestation; first or second degree sexual misconduct with a minor; patronizing a juvenile prostitute; child abandonment; promoting pornography; selling or distributing erotic material to a minor; custodial assault; violation of child abuse restraining order; child buying or selling; prostitution; felony indecent exposure; criminal abandonment; or any of these crimes as they be rename in the future.

- Convicted of crimes relating to financial exploitation if the victim was a vulnerable adult. 2. A conviction for first, second, or third degree extortion; first, second, or third degree theft; first or second degree robbery; forgery; or any of these crimes that may be renamed in the future. A vulnerable adult is an adult who lacks the functional, mental, or physical ability to care for themselves
- Convicted of crimes related to drugs; 3.

A conviction of a crime to manufacture, deliver, or possession with intent to manufacture or deliver a controlled substance.

- 4. Found in any dependency action under <u>RCW 13.34.040</u> to have sexually assaulted or exploited any minor or to have physically abused any minor;
- Found by a court in a domestic relations proceeding under Title 26 RCW to have sexually 5. abused or exploited any minor or to have physically abused any minor;
- Found in any disciplinary board final decision to have sexually or physically abuse or 6. exploited any minor or developmentally disabled person or to have abused or financially exploited any vulnerable adult;

Any final decision issued by a disciplining authority under <u>RCW 18.130</u> or the secretary of the department of health for the following businesses or professions: chiropractic, dentistry, dental hygiene, massage, midwifery, naturopathy, osteopathic medicine and surgery, physical therapy, physicians, practical nursing, registered nursing, and psychology.

7. Found by a court in a protection proceeding under <u>RCW. 74.34</u>, to have abused or financially exploited a vulnerable adult.

The illegal or improper use of a vulnerable adult or that adult's resources for another person's profit or advantage.

Employee Signature \_\_\_\_\_ Date: \_\_\_\_\_

Witness Signature

Date:



# In-Home Services Full-Time Equivalent (FTE) Worksheet

Complete a separate worksheet for each service category your agency is licensed for.

Demographic Information					
Agency License # (if applicable)	Service Category (Home Care, Home Health, Hospice)				
Agency Name					
Mailing Address					
City State Zip Code	County				
Phone (enter 10 digit #)	Email Address				

### **Determine your total full-time equivalent employees**

The worksheet on page two is designed to assist In-Home Services agencies in calculating their average 12 month full-time equivalent employees (FTE's). Licensees must report their average 12 month FTEs for each service category when renewing their license. FTEs, in combination with service categories, are used to determine licensing renewal fees. Applicants for initial licensure should skip steps one and two below and list their anticipated FTEs at start-up at the bottom of page two.

#### Step One: Calculating the Total Number of Employees

For the worksheet on page two, you will need to calculate the following:

- Full-Time Employee Calculations (Column X): Insert the number of "full-time" employees of your company who work on average 30 or more hours per week per month during the previous 12 month measurement period.
- Full-Time Equivalent (FTE) Calculations (Column Y): Insert the total number of hours worked by all part-time (all employees who did not work on average 30 or more hours per week per month during the previous 12 month measurement period). Divide each monthly total by 120 as a proxy of a 30 hour work week (e.g., 240 hours worked in January/120 = 2).

#### Step Two: Calculating the Number of FTEs

For the worksheet on page 2, you will need to calculate the following:

- Add up the subtotal in Column X
- Add up the subtotal in Column Y
- Add up the subtotals in Columns X and Y and divide by 12 for your final full-time employee count.

Full-Time Equivalent (FTE) Worksheet						
	Month/Year Example: "January /2017'	Step one: Column X Number of full-time employees	Step one: Column Y Total hours worked by non-full- time employees divided by 120			
1			time employees divided by 120			
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
Subtotals						
	<b>Step Two:</b> (X+ Y) / 12 = FTE average for 12 months					
	Note: A minimum of 1 FTE is required for each approved service area (e.g. county) per service category (e.g. home care, home health, hospice) according to WAC 246-335-990(3)					
Initial Licensure: Skip above steps and indicate your anticipated FTEs at start-up: FTEs						
A	Attestation					
I certify the above information is true and complete to the best of my knowledge and belief. The Department of Health reserves the right to request additional documentation in order to verify stated information.						
Ad	Administrator Name (Print)					
Się	gnature	Date (mm/dd/yyyy)				



# **RCW/WAC and Online Website Links**

## **RCW/WAC Links**

In-Home Services Laws, Chapter 70.127 RCW In-Home Services Rules, Chapter 246-335 WAC

## Online

Home Care Agencies Web Page Home Health Agencies Web Page Hospice Agencies Web Page Hospice Care Centers Web Page